JEW.



In re application of

Akira TSUJI, et al.

Appln. No.: 10/541,019

Confirmation No.: 4031

Filed: June 28, 2005

For: GASTROINTESTINAL ABSORPTION ENHANCER MEDIATED BY PROTON-COUPLED

TRANSPORTER AND ITS PREPARING METHOD

PAPER(S) FILED ENTITLED:

1. Request for Corrected Official Filing Receipt

2. Marked up copy of Official Filing Receipt

3. Copy of Assignment filed June 28, 2005

SUGHRUE MION, PLLC Telephone: (202) 293-7060

Facsimile: (202) 293-7860

**WASHINGTON OFFICE** 

23373

**CUSTOMER NUMBER** 

DOCKET NO.: Q88424 ATTORNEY/SEC: GK/dmr Date Filed: March 22, 2006

Group Art Unit: 1615

Examiner: Unknown



#### PATENT APPLICATION

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q88424

Akira TSUJI, et al.

Appln. No.: 10/541,019

Group Art Unit: 1615

Confirmation No.: 4031

Examiner: Unknown

Filed: June 28, 2005

For:

GASTROINTESTINAL ABSORPTION ENHANCER MEDIATED BY PROTON-COUPLED TRANSPORTER

AND ITS PREPARING METHOD

## REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

ATTN:

Office of Initial Patent Examination

Filing Receipt Correction

**Commissioner for Patents** 

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

We enclose a copy of the Official Filing Receipt for the above-identified application and request the following correction(s):

Assignment for Published Patent Application: Akira Tsuji and Otsuka Pharmaceutical Co. Ltd.

Title:-Agent improving proton-driven transporter-mediated absorption in digestive tract and process for producing the same; Gastrointestinal Absorption Enhancer mediated by proton-coupled transporter and its preparing method.

Verification for the requested correction(s) is indicated on the Assignment filed June 28, 2005.

submitted,

SUGHRUE MION, PLLC Telephone: (202) 293-7060

Facsimile: (202) 293-7860

WASHINGTON OFFICE 23373

CUSTOMER NUMBER

Date: March 22, 2006

Gordon Kit

Registration No. 30,764



10/541.019

TENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Viginia 22313-1450 www.uspto.gov

FILING OR 371 APPL NO. (c) DATE

ART UNIT

FIL FEE REC'D 1300

ATTY.DOCKET NO Q88424

DRAWINGS TOT CLMS

IND CLMS

19 5

**CONFIRMATION NO. 4031** 

23373 SUGHRUE MION, PLLC 2100 PENNSYLVANIA AVENUE, N.W. SUITE 800 WASHINGTON, DC 20037

06/28/2005 1615

**FILING RECEIPT** \*OC000000018061565\*

Date Mailed: 02/28/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE. NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Akira Tsuji, Kanazawa-shi, JAPAN: 4 Ikumi Tamai, Kanazawa-shi, JAPAN: ✓ Yoshimichi Sai, Kanazawa-shi, JAPAN; Masaaki Odomi, Tokushima-shi, JAPAN; ✓ Hidekazu Toyobuku, Tokushima-shi, JAPAN; j

sianment

for Published Patent Application:

Power of Attorney: The patent practitioners associated with Customer Number 23373.

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP04/00070 01/08/2004

Otsoka Pharma centical

Foreign Applications

JAPAN 2003-6005 01/14/2003

Projected Publication Date: To Be Determined - pending completion of Security Review

'Non-Publication Request: No

Early Publication Request: No

Title

Agent improving proton-driven transporter-mediated absorption in digestive tract and process for producing the same

**Preliminary Class** 

proton-coupled transporter and its preparing Method.

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process simplifies the filing of patent applications on the same invention in member countries, but does not result in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

#### **GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

1) TSUJI Akira, 2) TAMAI Ikumi, 3) SAI Yoshimichi,

Whereas, I/We, 4) ODOMI Masaaki, and 5) TOYOBUKU Hidekazu See the Attached Sheet hereinafter called assignor(s), have invented certain improvements in GASTROINTESTINAL ABSORPTION ENHANCER MEDIATED BY PROTON-COUPLED TRANSPORTER AND ITS PREPARING METHOD and executed an application for Letters Patent of the United States of America therefor on ; and 1) TSWI Akira, and 2) OTSWA THARMACEUTICAL CO., LTD., of Whereas, 1) 1-3-10, Nagamachi, Kanazawa-shi, Ishikawa 9200865, Japan; and 2) 9, Kandatsukasa-cho 2-chone, Chiyoda-ku, Tokyo 1018535, Japan (assignee), desires to acquire the entire right, title, and interest in the application and invention, and to any United States patents to be obtained therefor; Now therefore, for valuable consideration, receipt whereof is hereby acknowledged, I/We, the above named assignor(s), hereby sell, assign and transfer to the above named assignee, its successors and assigns, the entire right, title and interest in the application and the invention disclosed therein for the United States of America, including the right to claim priority under 35 U.S.C. §119, and I/we request the Director - U.S. Patent and Trademark Office to issue any Letters Patent granted upon the invention set forth in the application to the assignee, its successors and assigns; and I/we will execute without further consideration all papers deemed necessary by the assignee in connection with the United States application when called upon to do so by the assignee.

		and Confirmati	
ind a	pplication num	ber of said application when known.	) the filing date
		f. 7	
Date:	May 25, 2005	_ Marka Fais	
		s/ TSUI Akira	
Date:	May 25, 2005	Skum Come	
		s/ TAMAI Ikumi	
ite:	May 25, 2005	Joshich &	
		s/ SAI Yoshimichi	
ite:	May 25, 2005	magal Bu	
		s/ ODMI-Masaaki	
Date:	May 25, 2005	Midekazu Jayob	
		s/ TOMOBLIKU Hidekazu	upen
ate:			
		s/	
te:			
		\$/	
le:			
		· · · · · · · · · · · · · · · · · · ·	
		s/	



## -Attached Sheet-

# The Assignors' Addresses

- 1) TSUJI Akira 1-3-10, Nagamachi, Kanazawa-shi, Ishikawa 9200865, Japan
- 2) TAMAI Ikumi 9-24, Midorigaoka, Kanazawa-shi, Ishikawa 9218117, Japan
- 3) SAI Yoshimichi 2-9-17-105, Izumigaoka, Kanazawa-shi, Ishikawa 9218035, Japan
- 4) ODOMI Masaaki c/o Formulation Research Institute of OTSUKA PHARMACEUTICAL CO., LTD., 224-18, Hiraishi Ebisuno, Kawauchi-cho, Tokushima-shi, Tokushima 7710182, Japan
- 5) TOYOBUKU Hidekazu c/o Formulation Research Institute of OTSUKA PHARMACEUTICAL CO., LTD., 224-18, Hiraishi Ebisuno, Kawauchi-cho, Tokushima-shi, Tokushima 7710182, Japan